

CEPH-0939



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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Hudkins et al.

Serial No.: 09/500,849

Group Art Unit: 1626

Filed: February 10, 2000

Examiner: S. Wright

For: Cyclic Substituted Fused Pyrrolocarbazoles and Isoindolones

I, Michael P. Straher, Registration No. 38,325 certify that this correspondence is being deposited with the U.S. Postal Service as First Class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

On March 8, 2002

Michael P. Straher, Reg. No. 38,325

Assistant Commissioner
for Patents,
Washington, D.C. 20231

Dear Sir:

RESPONSE TO SPECIES ELECTION

This paper responds to the Office Action mailed February 8, 2002, in connection with the above-identified patent application.

Formal have been submitted directly to the draftsman under separate cover this day.

The Office Action has required the election of a single species, assumably for searching purposes only. Applicants traverse the election requirement, but nevertheless elect herein the species of Formula II in claim 21, wherein Q is NR¹³ or R^{7A}, and W is CR¹⁸R⁷. A

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3.26.02

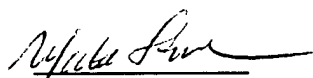
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specific compound falling within this election is compound II-4, in Table 7 on page 48 of the present specification. Applicants assume that the election is for searching purposes only, and that should the elected species described above be found free the prior art, the full scope of the claims will be examined. Should the Examiner hold a different view, Applicants respectfully request that the Examiner so inform Applicants' undersigned attorney.

It is believed all of the claims presently before the Examiner patentably define the invention over the prior art and are otherwise in condition for ready allowance. An early Office Action to that effect is, therefore, earnestly solicited.

Respectfully submitted,



Michael Straher
Registration No. 38,325

Date: March 8, 2002

WOODCOCK WASHBURN LLP
One Liberty Place - 46th Floor
Philadelphia, PA 19103



DOCKET NO.: CEF01-0939

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For: CYCLIC SUBSTITUTED FUSED PYRROLOCARBAZOLES AND
ISOINDOLONES

DATE OF DEPOSIT: March 8, 2002

I HEREBY CERTIFY THAT THIS PAPER IS BEING
DEPOSITED WITH THE UNITED STATES POSTAL
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TYPED NAME: Michael P. Straher
REGISTRATION NO.: 38,325

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☐ AF

Assistant Commissioner for Patents
Washington DC 20231

Sir:

AMENDMENT TRANSMITTAL LETTER

Transmitted herewith for filing in the above-identified patent application is:

- ☐ A Preliminary Amendment.
- ☒ An Amendment Responsive to the Office Action Dated February 8, 2002
An Amendment Supplemental to the Paper filed _____.
- ☐ Other: _____.

- ☐ Applicant(s) has previously claimed small entity status under 37 CFR §1.27.
- ☐ Applicant(s) by its/their undersigned attorney, claims small entity status under 37 CFR §1.27 as:
- ☐ an Independent Inventor
 - ☐ a Small Business Concern
 - ☐ a Nonprofit Organization
- ☐ This application is no longer entitled to small entity status. It is requested that this be noted in the files of the Patent and Trademark Office.
- ☐ Substitute Pages _____ of the Specification are enclosed.
- ☐ An Abstract is enclosed.
- ☐ ____ Sheets of Proposed Corrected Drawings as per PTO-948 form are enclosed.
- ☐ A Certified Copy of each of the following applications: _____
_____ is enclosed.
- ☐ An Associate Power of Attorney is enclosed.
- ☐ Information Disclosure Statement.
- ☐ Attached Form 1449.
 - ☐ A copy of each reference as listed on the attached Form PTO-1449 is enclosed herewith.
- ☐ Appended Material as follows: _____.
- ☐ Other Material as follows: _____.

FEE CALCULATION

☒ No Additional Fee is Due.


				SMALL ENTITY		NOT SMALL ENTITY	
	REMAINING AFTER AMENDMENT	HIGHEST PAID FOR	EXTRA	RATE	FEE	RATE	FEE
TOTAL CLAIMS	94	94 (20 MINIMUM)	0	\$9 EACH	\$	\$18 EACH	\$
INDEP. CLAIMS	3	3 (3 MINIMUM)	0	\$42 EACH	\$	\$84 EACH	\$
FIRST PRESENTATION OF MULTIPLE DEPENDENT				\$140	\$	\$280	\$
<input type="checkbox"/> ONE MONTH EXTENSION OF TIME				\$55	\$	\$110	\$
<input type="checkbox"/> TWO MONTH EXTENSION OF TIME				\$200	\$	\$400	\$
<input type="checkbox"/> THREE MONTH EXTENSION OF TIME				\$460	\$	\$920	\$
<input type="checkbox"/> FOUR MONTH EXTENSION OF TIME				\$720	\$	\$1440	\$
<input type="checkbox"/> FIVE MONTH EXTENSION OF TIME				\$980	\$	\$1960	\$
<input type="checkbox"/> LESS ANY EXTENSION FEE ALREADY PAID				minus	(\$)	minus	(\$)
<input type="checkbox"/> TERMINAL DISCLAIMER				\$55	\$	\$110	\$
<input type="checkbox"/> OTHER FEE OR SURCHARGE AS FOLLOWS:							
TOTAL FEE DUE							\$

- ☐ A Check is Enclosed in the Foregoing Amount Due.
- ☐ Petition is hereby made under 37 C.F.R. 1.136(a) to extend the time for response to the Office Action of @@ to and through @@ comprising an extension of the shortened statutory period of @@ month(s).
- ☒ The Commissioner is hereby requested to grant an extension of time for the appropriate length of time, should one be necessary, in connection with this filing or any future filing submitted to the U.S. Patent and Trademark Office in the above-identified application during the pendency of this application. The Commissioner is further authorized to charge any fees related to any such extension of time to deposit account 23-3050. This sheet is provided in duplicate.

- ☒ The Commissioner is authorized to charge payment of the following fees and to refund any overpayment associated with this communication or during the pendency of this application to deposit account 23-3050. This sheet is provided in duplicate.
- ☐ The Foregoing Amount Due for Filing this Paper.
- ☒ Any additional filing fees required, including fees for the presentation of extra claims under 37 C.F.R. 1.16.
- ☒ Any additional patent application processing fees under 37 C.F.R. 1.17 or 1.20(d).

SHOULD ANY DEFICIENCIES APPEAR with respect to this application, including deficiencies in payment of fees, missing parts of the application or otherwise, the United States Patent and Trademark Office is respectfully requested to promptly notify the undersigned.

Date: March 8, 2002



Michael P. Straher
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TO THE DRAWING REVIEW BRANCH, ASSISTANT
COMMISSIONER FOR PATENTS, WASHINGTON, DC
20231.

Michael P. Straher
TYPED NAME: Michael P. Straher
REGISTRATION NO.: 38,325

Drawing Review Branch
Assistant Commissioner for Patents
Washington, DC 20231

Sir:

TRANSMITTAL LETTER TO OFFICIAL DRAFTSMAN

Please find enclosed **twenty-one (21)** sheets of formal drawings relating to the
above-identified patent application.

This Letter, and the enclosed drawings, each bear the Title, Inventor Name(s),
Application No. and Docket No. of the application on the front of the respective document.

Please charge any comparison fees to our Deposit Account No. 23-3050.


DOCKET NO.: CEPH-0939

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PATENT

In view of the above, the present application is believed to be in a condition
ready for issuance.

Date: *March 8, 2022*



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